



# Newcastle Hospitals Charity Annual Report and Accounts 2021-2022

# A Message from the Chair of the Charity Committee and Charity Director

It is always with a great amount of pride that we reflect on a year in the life of Newcastle Hospitals Charity (NHC) and 2021/22 is no exception. Whilst continuing to support Newcastle Hospitals as they continued to rise to the challenge of the pandemic, we have also been working closely with the Hospitals as they look to the future and it has been our privilege to have been able to commit £10million of funding for projects that are helping Newcastle Hospitals to go further for patients, for staff and for the people of the region.

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It is difficult to summarise the courage, dedication and compassion that has been shown by everyone within Newcastle Hospitals both during the pandemic and as we emerge from it. Throughout the pandemic we worked very closely with Newcastle Hospitals colleagues to ensure that NHC supported their efforts in many new and different ways, providing funding for initiatives such as additional psychological support for staff; tailored sessions to support recovery and resilience and enhancements to dedicated rest areas.

We have also grown our small and dedicated charity team over the last year, and they have embraced the multiple challenges of working remotely; fundraising virtually; developing and rolling out new, more efficient systems and processes; and ensuring that they uphold our promise to look after each of our supporters in the best way possible.

As the largest NHS Charity in the North East and North Cumbria, the charity team successfully led a funding bid to NHS Charities Together to secure £1.5million of funding for projects that are helping to tackle health inequalities, improve people's mental health and wellbeing, and offer specialist support to people disproportionately affected by the direct and indirect impacts of COVID-19, across the North East and North Cumbria.

With further funding secured from NHS Charities Together, we were able to launch a new 'Arts Programme' for Newcastle Hospitals in 2021/22, led by a dedicated Arts Programme Manager, to deliver a programme of creative and cultural activities focused on supporting

the health and wellbeing of patients and staff. Activities have included our first 'artists in residence' within Newcastle Urology Stone Centre at Freeman Hospital, improving the staff and patient environment with large, site-specific, wall murals. A partnership with an Open University research programme gave staff opportunity to take part in an online creative writing course, to encourage the use of writing as a method for coping with trauma.

Looking forward, NHC continues to work in partnership with Newcastle Hospitals to complement their world-class healthcare services, building on what has already been achieved by funding such initiatives as the Newcastle Improvement programme and the Nursing and Allied Health Research Academy. We know that we are facing uncertain economic times, in our region and for the NHS and for fundraising. As such, we remain even more committed to securing meaningful funding for strategic priorities and initiatives, ranging across partnership work to tackle health inequalities; funding for patient facing cutting-edge medical research; support for major capital projects and improvement programmes that enhance and improve the patient experience and environment.

We are incredibly grateful to every single supporter of Newcastle Hospitals Charity and we are committed to delivering our future strategy to help our hospitals go further in improving the health and wellbeing of the patients, people and wider communities of Newcastle Hospitals.

With all the very best



Jill Baker

Charity Committee Chair



Teri Bayliss

Charity Director



## Newcastle Hospitals Charity Review of the Year 2021/22



Newcastle Hospitals Charity is privileged to have been able to support the NHS, patients, staff, and wider communities throughout 2021/22. This could not have been done without the brilliant support from all of the donors, fundraisers, partners and supporters of the Charity.

Partnership working has and continues to be an important part of the Charity's strategy and, while a large proportion of grants have been awarded within Newcastle Hospitals, the Charity is proud to have been able to support external organisations with more than £2.5m in funding. Just some partner organisations from the charitable sector the Charity has collaborated with in the last year include the Newcastle United Foundation, MediCinema, Healthworks, Newcastle Carers, Citizens Advice Gateshead, and the Centre for Sustainable Healthcare.

The strategic priority to establish an arts engagement programme for staff, patients and the local community has progressed well. Commencing in June 2021, the Charity began delivering a 12-month pilot Arts Programme across the Trust, with the role of Arts Programme Manager embedded into the Charity structure. Engagement with the programme has been very positive and it is evident that there are numerous opportunities for the programme to improve patient and visitor experience, enhancing care for patients and the wider community, and offering staff creative opportunities to support their health and wellbeing.

Over the course of the year the Charity's has been delighted to award more than 250 grants, totalling more than £10 million in value. Each grant has been directly linked to the Charity's strategic goals which are set out below;

### Who We Are

The overall objective of the Newcastle upon Tyne Hospitals NHS Charity ("the Charity") is outlined in the Charity's governing document and as such, funds are used "for any charitable purpose or purposes relating to the National Health Service or to general or specific purposes of The Newcastle upon Tyne Hospitals NHS Foundation Trust".

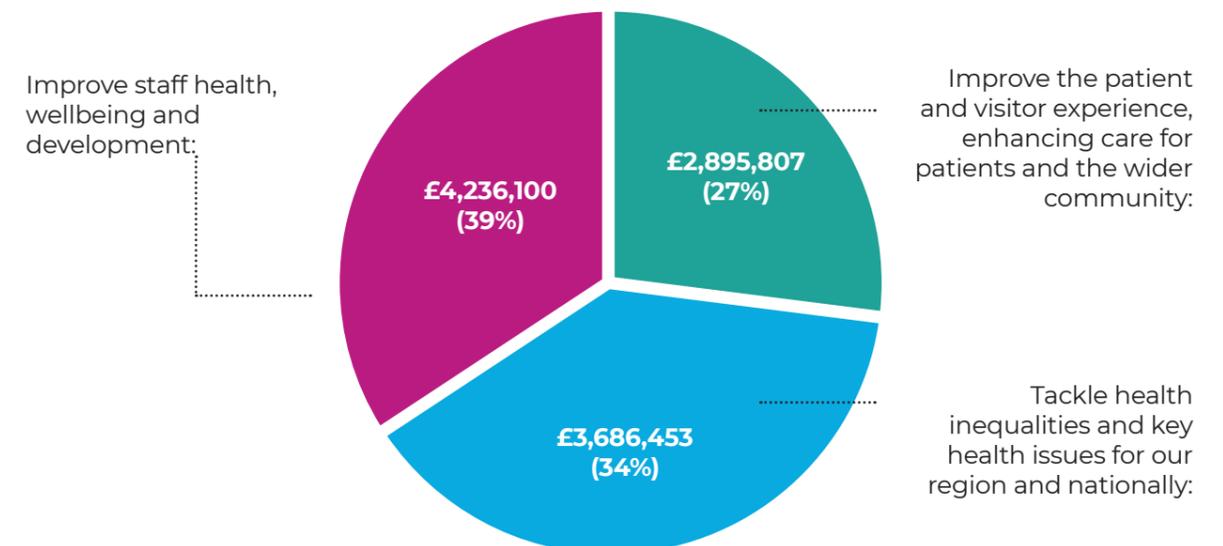
The Charity has a set of aims, which benefit the public through the Charity's support for the Newcastle upon Tyne Hospitals NHS Foundation Trust ("the Trust"). These aims ensure that the Trustee complies with the duty to have due regard to public benefit guidance published by the Charity Commission and set out in section 4 of the Charities Act 2006.

In 2021/22, the Charity's main aim has been to provide funding for a range of initiatives that continually enhance the excellent patient care and experience across Newcastle Hospitals and beyond. This includes providing additional equipment, supporting staff training and development, and funding new and innovative research projects. To achieve this aim the Charity continues to support:

- **Equipment for use in patient care and treatment.**
- **Projects and initiatives aimed at making the patient stay in hospital as comfortable as possible;**
- **Staff training and development which ultimately translates into better care and treatment for patients;**
- **Medical research that aims to increase the knowledge and understanding of a range of illnesses/diseases and ultimately to provide cures or better treatment for patients.**

- **Improving the patient and visitor experience, enhancing care for patients and the wider community.**
- **Improving staff health, wellbeing, and development.**
- **Tackling health inequalities and key health issues for our region and nationally.**

### Below you can see a breakdown of how we invested these funds into each of our goals



In 2021/22 the Charity has been able to provide support ranging from £20 to more than £3m, some of which are detailed below:

**£3,192,246** for a Research Development Institute for Nursing, Midwifery and Allied Health Professionals (NMAHP) staff from across the Trust.



**£342,000** for a range of measures that supported hospital staff with the worst effects of the pandemic.



**£71,320** for a community support service for people suffering with Long Covid.



**£12,000** for the establishment of a Hardship Fund for patients and families at the Great North Children's Hospital.



**£1,567,845** for eight community partnership projects that tackled health inequality across the North East and North Cumbria.



**£138,756** for a pilot project encouraging people to stay physically active while they're in hospital – and once they're at home.



**£59,357** for Helping Hands, a social welfare service for Trust staff.



**£5,000** for the provision of fresh fruit to staff at the Northern Centre for Cancer Care



**£409,441** for a number of clinical research projects that help keep Newcastle at the forefront of health innovation and research.



**£74,345** for an innovative machine that prolongs the life of donated organs.



**£19,717** for occupational therapy support to patients at the Sir Bobby Robson Cancer Trials Unit.



**£3,000** for a LEGO model of a radiotherapy machine, to help young cancer patients know what to expect.



Below are some of examples of how Charity funds have been used to support and make a difference for the NHS, patients, staff, and wider communities throughout 2021/22.

## Prolonging the life of donated organs, increasing the number of successful transplantations

### The Challenge:

Sadly, in 2019/20, 300 patients died in England whilst awaiting a liver, kidney, or pancreas transplant. In the same period, 900 donated organs were rendered unusable because blood flow to the organs ceased long before the organs were removed from the donors.



### The Solution:

The Charity supported Newcastle Hospitals with grant funding of £74,345 to purchase one of the UK's first Abdominal Normothermic Regional Perfusion (ANRP) machines, an innovative piece of equipment that restores circulation to abdominal organs, helping to keep them viable between donor and recipient. This helps increase successful organ transplantation and reduces complications after surgery. Donated organs are a life-changing gift from the donor, as well as a great generosity from the donor's family. This machine means that even more donors' final wishes can be honoured.

### The Impact:

It is anticipated that having this ANRP machine will enable Newcastle Hospitals to undertake an additional 25 liver transplants, four pancreas transplants, and 12 kidney transplants each year and will transform and save lives. Having this technology in place will also keep Newcastle at the forefront of healthcare innovation and it is hoped that other Trusts will learn from the Trust's experience with the machine, spreading the benefits of this grant across the country and beyond.

**"It was exhilarating to hear the news that Newcastle Hospitals Charity had agreed to fund the purchase of an ANRP machine. This will significantly transform the transplant service that we provide, and I look forward to a strong partnership with Newcastle Hospitals Charity to achieve that."**

Consultant Transplant Surgeon Aimen Amer

Above: Consultant Transplant Surgeon Aimen Amer

## LEGO to reduce anxiety in paediatric radiotherapy

### The Challenge:

When a child needs radiotherapy treatment for cancer, it can be a scary prospect. They need to be calm and lie still for the best results, which can be difficult if they are anxious or upset. The radiotherapy team wanted to minimise the distress these young patients were feeling at such a difficult time and make hospital feel like a less intimidating place.



### The Solution:

The Charity made a grant of £3,000 to the Paediatric Radiotherapy Team for a LEGO model of a LINAC scanner radiotherapy machine, via the Great North Children's Hospital Foundation Fund. The Radiotherapy Team worked with local LEGO architects 'Brick This' to create a LEGO model of a radiotherapy LINAC scanner that children can play with before they start their treatment, to get a sense of what to expect.

The model is interactive and realistic, with a bed that moves up and down and is large enough to accommodate a Barbie-sized doll to play the part of the patient.

### The Impact:

**“Radiotherapy can be scary, especially for children, and especially when you see the machine for the first time. This fab model is going to be so helpful in helping us explain to young children how their treatment is going to work, and it also looks cool – like something from Star Wars! Steve from “Brick This” has been a joy to work with and the process of applying for a small grant from Newcastle Hospitals Charity was straightforward, too.”**

Bethany Cockburn, a Paediatric Radiotherapy Nurse Specialist who applied for the grant.

It is expected that 12 children a month are currently benefiting from educational play with this model.

Above: LEGO LINAC Scanner

## Pioneering a Research Development Institute for Nurses, Midwives and Allied Health Professionals

### The Challenge:

Nurses, Midwives and Allied Health Professionals (NMAHPs) make up a huge proportion of Newcastle Hospitals' workforce but only had limited flexible opportunities to undertake valuable research alongside their clinical practice. Obstacles identified included a high level of competition for national research funding and fellowships, as well as NMAHPs being unable to find time away from clinical practice to dedicate to research.



### The Solution:

The Charity supported NMAHPs by giving them our largest ever grant of more than £3 million, to help create Newcastle's new NMAHP Researcher Development Institute. This will support hundreds of staff, enabling them to turn their research ideas into reality. It will fund a range of academic opportunities for NMAHPs as well as addressing some of the challenges associated with research within busy clinical roles, such as back-filling staff posts when needed.

### The Impact:

The Institute will support at least 50 NMAHPs over five years, enabling their professional aspirations and creating additional, world-leading research in Newcastle. This project will bring about a lasting change and supports one of our main strategic aims; to fund major developments and health-related clinical research and innovation.

**“This incredibly generous grant will help us drive forward our innovative vision to introduce a unique Research Institute, harnessing the potential of our growing research community. Access to targeted support, relevant expertise and dedicated funding, means our NMAHPs can benefit from a truly unique springboard.”**

Maurya Cushlow, Executive Chief Nurse at Newcastle Hospitals

Above: NMAHPs like Alvin can benefit from this additional research support

Below: All Newcastle Hospitals staff are eligible to access financial management advice. Pictured: (L-R) Emma McIlhatton, Staff Nurse and Filipe Landeiro Sardinha, Sister/Charge Nurse

## Offering a helping hand with a social welfare service for staff

### The Challenge:

The COVID-19 pandemic has been an extremely challenging time for the Trust's 18,000+ staff, with increased workloads and uncertainty affecting almost everyone and combined with a steady cost-of-living increase. When the hospital Chaplaincy team made us aware of an increase in staff expressing concerns about financial worries, we were in an ideal position to help.



### The Solution:

The Charity partnered with Citizens Advice Gateshead, supplying grant funding of £59,357 for a pilot project to support Trust staff with direct access to free, impartial, and confidential social welfare advice and information via this well-regarded local charity.

### The Impact:

This funding means that three experienced Social Welfare Advisers are now on hand to support staff with initial advice and referrals to other services. Around 10 members of staff are using the service each month, receiving bespoke advice on a range of issues including financial management, access to financial aid, debt management, and benefits. This project supports one of our main goals in supporting the wellbeing of NHS staff at Newcastle Hospitals.

**“Working in partnership with the local voluntary sector is a major part of our strategy, and we were delighted when Citizens Advice said they could help us with this vital support to Trust staff.”**

Jon Goodwin, Head of Grant Programmes

## Embedding physical activity within the health system: Active Hospitals pilot

### The Challenge:

In 2021, a review of physical activity levels of 203 patients admitted to Ward 16 at the Freeman Hospital found that 80% of them were physically inactive in the lead-up to their admission. In response to this link between physical inactivity and hospitalisation, the Trust's Therapy Services department submitted a proposal that would help them address this important issue and, in doing so, reduce the risk of patient readmission to hospital.



### The Solution:

The Charity made a grant of £138,756 for the Active Hospitals project, which offers physical activity programmes on hospital wards and supports patients to move more while they are in hospital through targeted activities including bedside exercises, walking programmes and group exercise sessions.

On leaving hospital, patients will be provided with a personalised activity plan to keep them active either at home or in the community, with referrals being made to a wide range of local partner organisations who can also help.

### The Impact:

It is anticipated that 60 patients per month will benefit from the scheme and will hopefully consider leading a more active lifestyle.

**“I was really pleased when the Charity supported this proposal, as promoting physical activity and the associated health benefits of this is a key objective of our Therapy Services strategy. Thanks to this funding, the Trust and our partners can begin to tackle community health inequalities and helps us build collaborative new models of care and partnerships with community colleagues.”**

Ewan Dick, Director of Allied Health Professionals & Therapy Services at Newcastle Hospitals

Above: Physical activity will be embedded into the hospital experience for patients like Jean

## Financial aid for struggling families at the Great North Children's Hospital

### The Challenge:

Families whose children are undergoing care at the Great North Children's Hospital can face unexpected and increased expenses, which – against a tough economic backdrop – can often push them into hardship.

### The Solution:

The Charity established a pilot Hardship Fund for families at the Great North Children's Hospital, with a £12,000 donation from Amazon Gateshead. Through this, whether their stay in hospital is brief and unexpected or longer-term, families can benefit from funds for urgent essentials, like pyjamas or toiletries, or for larger things that are preventing them from being with their child, such as travel passes or funds for minor car repairs.

### The Impact:

It is expected that the £12,000 funding will last for around two years and will support around 30 families in need during one of the most stressful periods of their lives.

**“Support from the Hardship Fund is offered in a ‘light touch’, non-overwhelming, unbureaucratic way, in recognition of the fact that the families in question are going through perhaps the most frightening time of their lives.”**

Katie Watson, Head of Chaplaincy at Newcastle Hospitals



Left Graeme from Sticky Sponge kindly donated a custom-made stag cake to RVI staff

## COVID-19 – Our Response

The Charity continues to be humbled and grateful for all of the continued support received throughout the pandemic and to date from supporters and partners.

The reduction of COVID-19 restrictions meant that the Charity could resume some larger-scale fundraising events including the return of the Great North Run which saw a one-off change in route through Newcastle and Gateshead, with 300 runners taking part in this much-loved event supporting Newcastle Hospitals Charity and raising more than £100,000.

The Charity has continued to be overwhelmed with all the amazing support for Newcastle Hospitals' dedicated staff with many generous gifts being donated to benefit staff, including: a huge donation of Quality Street tins from John Lewis Newcastle; coffee and merchandise from Starbucks Jesmond and Kingston Park, and a magnificent custom-made stag cake provided by a local baker. These much-valued gifts provided some brightness for hospital staff as they worked tirelessly in challenging circumstances.



## Charity Supporters

The Charity is very grateful to the continued and generous support of its many supporters throughout 2021/22. Below are some examples of the amazing commitment that supporters of the Charity has shown throughout 2021/22.

## Individual Supporters

As well as the thoughtful and committed community fundraisers who support its work, individual donors play a huge role in supporting the Charity to make a difference.

Almost every supporter of the Charity has a deeply personal story or motivation, almost always linked to the outstanding care and treatment provided by Newcastle Hospitals. Mr Chris Bond, who donated £20,913 in support of the work of the Oesophageal Cancer Unit, wanted to thank Newcastle Hospitals for the compassionate care shown for his wife Tina during her treatment, commented that:

“During Tina’s treatment I think the one thing we were most grateful for was the amount of time Alex Phillips, Maria Bliss and Alex Bradshaw (Consultant Clinical Oncologist, Northern Centre for Cancer Care) were able to spend with us explaining the situation and discussing the pros and cons of the various options. Their time is precious, and they must have so many patients to look after but time never seemed to be an issue. I hope they are able to provide that for all patients.”

**The Charity is particularly grateful for the following significant donations:**

- Mr Robert Harmer donated £50,000 in support of the work of the Sir Bobby Robson Foundation
- Mrs Dhirani donated £15,000 to the Parkinson Disease Fund and £15,000 to Dementia Research
- Mr and Mrs Gordon and Angela Heslop donated £18,000 in support of critical care at the RVI



Left: Gracie (right) and friends hand in their funds at the RVI

## Community Fundraising

**Around 20% of support for the Charity came from community events and fundraising activity in 2021/22. Just some highlights include:**

- The Byers family organised a charity night in honour of their Dad, Terence, after he suffered a heart attack and needed surgery. They raised a fantastic £1,632 for the Cardiothoracic Centre at the Freeman Hospital.
- Knop Law Primary School raised £625 for our Great North Children’s Hospital Foundation by holding a non-uniform day before finishing for the Christmas break. They fundraised in support of pupil, Gracie Atkinson, who needed care and rehabilitation at the hospital following a traffic accident.
- A team of long-term Sir Bobby Robson Foundation supporters held their annual Kevin Outhwaite Golf Day 2021, raising £4,000. Lady Elsie Robson attended the event to thank the supporters.
- The family of baby Tyne, who is currently being treated at the Great North Children’s Hospital for leukaemia, raised £2,051 for the Great North Children’s Hospital Foundation. They raised this amazing amount at a band and raffle night, with generous match-funding from Barclays, organised by Marie Middleton, along with Tyne’s parents, Chloe and Brad.
- Michelle Barron organised a charity football match in memory of her Dad, ‘Square’ who sadly passed away last year. He had received treatment at the Freeman and Michelle wanted to give something back and raised a magnificent £2,170 to benefit our NECTAR fund.



## Partnership Funding

Partnership funding and working is incredibly important to the Charity and a huge range of partnership supporters worked with the Charity in 2021/22.

A very special mention must be made of the Newcastle Building Society who passed a multi-million-pound landmark in its support for the Sir Bobby Robson Foundation within the Charity, after renewing its funding of a specialist nursing post at the Freeman Hospital. By renewing support for the post for a third consecutive year, the Society's cumulative contribution to the Charity has now passed a landmark of £3million.

- The team at EC Business Solutions in Hebburn raised an amazing £7,439 for our Great North Children's Hospital Foundation. They were inspired by their colleague Hannah and her son, Jordan-Lee, who is being cared for at the hospital after being diagnosed with acute lymphoblastic leukaemia. The team held several fundraising events including an auction, bake sale, chilli-eating contest, and sponsored body waxing.
- Players from Newcastle United continued to generously support us and donated £7,326 to our Great North Children's Hospital Foundation, to provide materials to support children with sensory processing issues.
- Despite facing significant professional challenges, HMS Northumberland continues to support our fund, the Sir Bobby Robson Foundation as their official charity. The Ship's Company has now raised £1,700 through various challenges on board and movie nights.

As the largest NHS charity in the region, the Charity successfully led a funding bid to the NHS Charities Together Community Partnerships grant programme on behalf of the North East and North Cumbria (NENC) Integrated Care System (ICS).

A total of £1,567,845 was secured for eight two-year community partnership projects that are being delivered by a range of partners from within the NHS and from the charity sector.

The Charity is leading the delivery of the grant programme, working in partnership with County Durham Community Foundation and Cumbria Community Foundation to do so.



Above: Oncology Research Nurse, Lesley Hill, and Andrew Haigh, Chief Executive at Newcastle Building Society



**Healthworks**  
the community health charity

Left: The charity-supported Illuminations at the Freeman Hospital

The projects that received funding are helping to tackle health inequalities, improve people's mental health and wellbeing, and offer specialist support to people disproportionately affected by the direct and indirect impacts of COVID-19, across the North East and North Cumbria.

For the second year in a row, the Charity received great support over the festive period from partners who helped to fund a series of projections, illuminations and joyful decorations that brought some much-needed light and cheer into the lives of staff, patients, and visitors at Newcastle Hospitals. The Charity are especially grateful to Medical Architecture, CAD 21, Geoffrey Robinson Limited, Robertson CE Limited, and Tolent

Construction Ltd who all contributed to the hospitals' wonderful outdoor illuminations.

In addition to this, 22 local companies participated in a festive fundraising appeal designed to bring some additional seasonal cheer to hospital staff and patients, raising a total of £14,750 to provide decorations on the wards and around the hospitals and to fund seasonal gifts and activities for the patients staying in the hospital over the festive period.

The festive lights were officially switched on at carol services organised at the RVI and Freeman Hospitals with young patients from the Great North Children's Hospital and ex-Newcastle United player Shola Ameobi carrying out the official switch on.

## Legacies

Gifts made through legacies and wills enable the Charity to make a significant difference to patients, staff, and the wider community. In 2021/22, income in the form of legacies was once again significant, with gifts totalling £1,821,208 supporting a wide range of areas across the Hospitals.

### Most notably:

- Ms Rosemary Drewery Picker left £100,000 to Newcastle Hospital Charity's area of most urgent need
- Mr Keith Edward Hall left £409,817 in support of the work of the Sir Bobby Robson Institute
- Ms Betty Cooper left £147,250 in support of cardiac research
- Mrs Pauline Allcock left £100,000 in support of the Freeman Hospital's area of most urgent need



## Structure and Governance

Good governance is fundamental to Newcastle Hospitals Charity's continued success and enables the charity to manage risks appropriately and deliver charitable activities in compliance with all relevant legislation.

## Charitable Objects

The Charity was formed by a Declaration of Trust on 16th July 1996 as The Freeman Group of Hospitals NHS Charity which was amended by a Supplemental Deed dated 17th March 1999 to the Newcastle upon Tyne Hospitals NHS Charity, Registration Number 1057213.

A Supplemental Deed dated 1st February 2016, which applies to the Charity today, refined the Charity's objects. The Charity is constituted with a sole Corporate Trustee, which is the Newcastle upon Tyne Hospitals NHS Foundation Trust.

With effect from 1st April 2016, the Corporate Trustee was appointed as Trustee of charitable funds formerly held on behalf of the NHS Trust by Newcastle Healthcare Charity (Reg. 502473) under a Scheme formally approved by the Charity Commission for England & Wales on 21st March 2016. The funds were subsequently merged under the umbrella of the Trust's appointed charitable body, Newcastle upon Tyne Hospitals NHS Charity (Reg. 1057213) and have subsequently formed part of the Charity's financial accounts from 2016/17 onwards.

The objects of Newcastle Hospitals Charity are restricted specifically to:

- Any charitable purpose or purposes relating to the National Health Service or to general or specific purposes of the Newcastle upon Tyne Hospitals NHS Foundation Trust.

## Corporate Trustee

The Newcastle upon Tyne Hospitals NHS Foundation Trust Board of Directors are the sole Corporate Trustee of Newcastle Hospitals Charity. The Board of Directors comprises of 16 voting members and 5 non-voting members.

The Charity's funds are managed by the Board of Directors of The Newcastle upon Tyne Hospitals NHS Foundation Trust through a committee of the Trust Board, the Charity Committee.

All staff associated with the Charity are employees of the Trust and the policies and procedures set by the Trust are applied to the management of the Charity.

## Statement of Trustee's Responsibilities

The Trustees are responsible for preparing the Trustees' Annual Report and the financial statements in accordance with applicable law and regulations. Company law requires the Trustees to prepare financial statements for each financial year.

Under that law the Trustees have prepared the financial statements in accordance with United Kingdom Accounting Standards, comprising FRS 102 "The Financial Reporting Standard applicable in the UK and Republic of Ireland", and applicable law (United Kingdom Generally Accepted Accounting 26 Practice). Under company law the Trustees must not approve the financial statements unless they are satisfied that they give a true and fair view of the state of the affairs of the charitable company.

In preparing this Annual Report and Accounts, the trustees are required to:

- Select suitable accounting policies and then apply them consistently;
- Observe the methods and principles in the Charities SORP;
- Make judgments and estimates that are reasonable and prudent;
- State whether FRS 102 "The Financial Reporting Standard applicable in the UK and Republic of Ireland" has been followed;
- Prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charitable company will continue in business.

The Trustees are responsible for keeping adequate accounting records that are sufficient to show and explain the charitable company's transactions and disclose with reasonable accuracy at any time the financial position of the charitable company and enable them to ensure that the financial statements comply with the Companies Act 2006. They are also responsible for safeguarding the assets of the charitable company and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

The Trustees are responsible for the maintenance and integrity of the charitable company's website. Legislation in the United Kingdom governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

Above: Generous legacies help to support a range of projects across Newcastle Hospitals, such as clinical trials at the Sir Bobby Robson Cancer Trials Research Centre where staff like Sam Steel are hard at work

## Charity Committee

The Charity Committee is a statutory Committee established by the Board of Directors to manage, on behalf of the Board, all charitable funds under the control of the Trust, considering the requirements of the Department of Health and Social Care and the Charity Commission for England and Wales.

### The Committee's membership comprises:

- Three Non-Executive Directors of the Trust Board (appointed to the Trust Board by the Trust Council of Governors) one of who is appointed as Chair by the Trust Board;
- The Assistant Chief Executive;
- The Medical Director;
- The Finance Director.

All members of the Committee receive training and development support before joining the committee, and on a continuing basis, to ensure their effectiveness as members, supported by the process of annual appraisal, as agreed by the Board of Directors.

The Charity Committee has an annually reviewed Terms of Reference and meets a minimum of four times per year. Agendas are set for each meeting to enable the Trust Board in its capacity as Corporate Trustee of the charity to be assured that robust processes are in place to enable statutory duties to be discharged, to enable the Trust's strategic objectives to be met and to address and mitigate risk.

The quorum necessary for the transaction of business will be three members, including at least one Executive and one Non-Executive Director.

## Newcastle Hospitals Charity Team

A dedicated team within the Trust provides administrative, financial and fundraising support for the Charity. The Charity does not directly employ staff, the Charity team contracts are with the Newcastle upon Tyne Hospitals NHS Foundation Trust and the Charity is recharged for costs incurred in relation to both staffing and non-pay related expenditure incurred directly in relation to Charity activities.

## Charity Funds

Following a re-classification of funds agreed by the Charity Commission (January 2018), the Charity now comprises of over three hundred individual unrestricted funds which have been designated for hospital/ward/specialty areas in accordance with donor preference.

## The Charity has a code of fundraising practice as follows:

The charity fully adheres to the code of fundraising practice, which sets the standards that apply to fundraising carried out by all charitable institutions and third party fundraisers in the UK.

The generosity of their supporters enables them to enhance and improve the experience of patients and their families, as well as amazing NHS staff, across all of their hospital sites.

It's ambition to make the biggest difference, so it promises to make sure our look after its supporters in the best way possible.

### We are honest and open

- We value your generosity and will let you know where your donations will make the biggest difference
- We will be clear about what we are fundraising for
- We will be clear about how much of your donation goes straight to frontline services and how much is spent on the administration of the charity
- We will respect your privacy and will never sell your details to a third party
- Privacy Policy

### We are committed to high standards

- We will do all we can to ensure that fundraisers and volunteers abide by the Fundraising Regulator's Fundraising Promise
- We will comply with the law, the Charity Commission and the Fundraising Regulator, including guidance around data protection and health and safety
- Your opinions matter to us, and if you are unhappy with anything we have done please contact us via the methods below to make a complaint

### We are respectful

- We promise to communicate in a way that suits you, and will be respectful if you wish to change this decision
- We will treat every donation respectfully, and if you do not wish to continue your relationship with us, we will respect your decision
- We are a member of the Fundraising Regulator and are proud to have committed to their Fundraising Promise, delivering the highest standards possible across the charity. We promise that our fundraising is always legal, open, honest and respectful

### Donor Care

We will always aim to:

- respond to all enquiries within two working days
- thank each donation within one working week of receipt
- ensure all supporters' details are recorded accurately and efficiently on the database
- make sure we record supporters' contact preferences

## Policy

Newcastle Hospitals Charity policies and associated procedures are designed to provide guidance that ensures consistent, ethical and professional standards are maintained in relation to charitable donations and fundraising, and that they are compliant with charity law and the regulations and standards set out by the Charity Commission, the Fundraising Regulator and the Healthcare Financial Management Association.

The Corporate Trustee considers the Charity has the necessary practices currently in place to assess Governance, Operational, Financial, External and Compliance risks. This is described in the Risk Management and Internal Control section of this report. In addition, a Risk Register is monitored and maintained which identifies risk areas, the potential impact of these risks and the steps taken to mitigate the risks to the Charity.

All working practices and procedures conform to the Charity Commission's guidelines and are subject to scrutiny by Internal and External Audits.

The Charity follows Newcastle upon Tyne Hospitals NHS Foundation Trust's stance and efforts to prevent modern slavery and human trafficking in its supply chain.

The Trust has reviewed and met its requirements in line with Section 54 of the Modern Slavery Act 2015.

## Privacy Statement

Newcastle Hospitals Charity are committed to protecting and respecting personal information and always ensure transparency about how data will be used. Newcastle Hospitals Charity is fully compliant with the European General Data Protection Regulation and the UK Data Protection Act 2018.

Newcastle Hospitals Charity has a Privacy Statement, which sets out how they collect and use personal information and why it is important in enabling them to fulfil their charitable objectives. Newcastle Hospitals Charity Privacy Statement is available to read in full on the charity website.



## Risk Management and Internal Control

### Principal Risks

The Newcastle Hospitals Charity has adopted The Newcastle upon Tyne NHS Foundation Trust (the Trust) Risk Management Policy and Procedures.

The Risk Management Policy sets out the structures and processes for the identification, evaluation, and control of risk, as well as the system of internal control.

The key elements of the Risk Management Policy are:

- a clear framework for the accountability and delegated responsibility for the management of risk;
- an integrated document that sets out the overall purpose and processes, as well as an associated annual plan;
- a clearly defined Committee structure that supports robust and timely decision making around key charity risks;
- robust systems for the identification, analysis, prioritisation and actions in relation to risks affecting all areas of activity;
- risk management processes that are integrated and embedded into the day-to-day activities of the Charity;
- a tailored training programme to address key risk areas; and
- comprehensive communication processes for risk management policies and procedures, and the dissemination of learning from lessons learned.

A Charity risk register has been maintained throughout 2021/2022, which records when a risk has been identified, its' owners, likelihood of occurrence, potential impact, and mitigating action.

The Charity continues to review risks on a quarterly basis. A risk report is presented to the Charity Committee on a quarterly basis to provide assurance that charity risks continue to be managed effectively. The Charity Committee is chaired by a Non-Executive Director and has Trust Board of Directors membership.

### Principal Risks

The table overleaf details the top four risks identified in 2021-22, these risks continue to be risks to the achievement of strategic objectives pertinent to 2022-23.

## Principal Risks

**There is a risk that the current surfeit within the Charity Accounts could hinder the ability to seek funding from external sources**

- Charity Strategy.
- Strategic priorities.
- Priority actions.
- Enhanced focus on grant making.
- Proactive approach to use of funds to support and help our hospital to go further.
- Defined reserves.
- Process undertaken and a recommendation will be made to Trust Board.

**If the pandemic continues there could be challenges in fundraising following the change in the Charity Model from reactive to proactive which could then impact on future income**

- Active monitoring and management of charity accounts.
- Actively seek COVID-19 safe alternative fundraising activities and campaigns.
- Actively seek and strengthen corporate partnerships.
- Actively fundraising from Trusts and Foundations
- Alternate forms of fundraising taken place i.e. virtual events.
- The fundraising team is actively developing and strengthening a programme of corporate partnerships.
- The Launch of Pennies from Heaven (staff giving campaign) commenced in February and has been successful to date.
- Actively attending engagement events.
- Hosting a weekly 'Meet the Charity Team' to promote events and campaigns.

## Key Controls

## Principal Risks

**Key Governance principles are not robust enough and may not satisfy the requirement of regulatory good governance**

## Key Controls

- Independent review of Charity Governance.
- Review of current governance model.
- Charity Governance working group;
- Recruitment of key personnel to fulfil charity responsibilities.
- Development of Charity Policies/procedures.
- Development and implementation of the Charity Strategy.
- Further recruitment of the Charity Team both completed and ongoing.
- Governance work undertaken with fund advisory sub-committees and revisions to their terms of reference.
- Charity Committee.

**If the charity is unable to achieve Aim Five of the Charity Strategy 'Efficient and effective charity governance and operations' there is significant risk that they will be unable to scale up their fundraising and their grant making and therefore they will not achieve the Charity Strategy in its entirety.**

- Legal advice obtained re Governance.
- Process Mapping session undertaken to demonstrate the current process and highlight the changes needed.
- Charity Governance Working Group.
- Monitoring and setting KPI Meetings.
- Annual competition of Charity Commission Connected Charities Guide.
- Options Appraisal re Charity Financial Management in progress.
- Risk added to the Board Assurance Framework.

## A Look Forward to the Future

Newcastle Hospitals Charity is an enabler to improving the health and wellbeing of the patients, people and wider communities of Newcastle Hospitals and aims to provide support for compassionate and innovative healthcare, education and research; locally and nationally.

Newcastle Hospitals Charity has developed a Charity Strategy for 2021-2026 which puts people at the heart of all Charity activities and is united with the ambition of the Trust to make a real difference to the health and care of the people across the Trust and beyond. The Charity's ambition is to strengthen its role as a key partner for the Trust and to increase the impact that it can make on improving the health of its region and beyond.

Working in close partnership with the Trust, the goals of Newcastle Hospitals Charity are to:

- Improve the patient and visitor experience, enhancing patient centred care;
- Improve staff health, wellbeing and development;
- Tackle health inequalities and key health issues for its region and nationally.

## Strategic Priorities

In meeting this ambitious new strategy, the Charity will focus on delivering the following strategic priorities:

### Strategic Priorities

Delivering an effective, accessible grants programme to support Trust improvements to services and the patient environment Establish a grant programme dedicated to supporting patients and families experiencing severe financial difficulty during their treatment Establish Arts engagement programme for staff and local community	Generate financial support for the Trust's flagship wellbeing and recognition programmes Generate financial support for staff initiatives within 'Sustainable Healthcare in Newcastle' (SHINE) Fund research fellowship programme for staff, supporting career progression while supporting the Trust to be at forefront of health innovation and research	Fund and raise for major projects within the Trust, and where the Trust is a key partner Provide financial support for cutting edge clinical research and innovation, particularly when there is no other source widely available	Build and strengthen partnerships with key health and VCSE organisations to deliver greater impact. Establish Newcastle Hospitals Charity as a regional partner for Collaborative prevention initiatives Support micro-communities through Collaborative Newcastle to improve health and wellbeing and reduce inequalities Work with the NHS Charities Together network to influence national NHS charity funding priorities	Ensure excellent governance and ethical standards of charity practice Develop and resource professional, ethical fundraising programmes to deliver our ambition Develop brand to ensure that our ability to deliver impact is understood Focus fundraising on initial key themes: • Green and Healthy Hospitals • Research and Innovation • Child Health • Cancer
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The Newcastle Hospitals Charity has adopted a risk appetite statement which shows the amount of risk the Charity is willing to accept in seeking to achieve its Strategic Objectives.

The Charity risk appetite will be reviewed on an annual basis to ensure it consistently reflects the Charity's current risk position. The Newcastle Hospitals risk appetite statement is shown below.

Key Risk Category	Risk Appetite Level	Risk Tolerance Score	Risk Appetite Statement
<b>Fundraising</b>	Moderate	12-16	We have MODERATE appetite for risk taking in relation to Charity Fundraising. We will take measured and considered risk to optimise fundraising success to allow us to fund initiatives that tackle health inequalities and key health issues for the region and beyond as well as improve patient experience and staff wellbeing, enhancing care for patients, and the wider community.  We will not take any fundraising risk that may affect the reputation of the Charity or result in a negative return.
<b>Compliance/Regulatory</b>	Very Low	6-10	We have a VERY LOW risk appetite for risks in relation to regulatory compliance. We will not take any risk which will impact on our ability to meet the fundraising regulators code of conduct.
<b>Finance/VfM</b>	HIGH	12-16	We have a HIGH appetite to apply up to 75% of our reserve in the pursuit of achieving our mission to be an enabler to improving the health and wellbeing of the patients, people and wider communities of Newcastle Hospitals, providing support for compassionate and innovative healthcare; education, research; locally and nationally. "Helping our hospitals go further"  The Charity Commission states that reserves should not fall below 12 months' worth of operating and grant making costs. For the Newcastle Hospitals Charity this equates to approximately £6m for the year 2021/2022.

## Financial Review of 2021/22

This section provides a financial summary of the Newcastle Hospitals Charity for 2021-2022. A full copy of the audited accounts are included in this report.

During 2021-2022 the value of the Newcastle Hospitals Charity decreased from £39.4 million to £36.7 million.

	£'000
Fund Value at 01/04/2021	39,351
Income	5,200
Expenditure	(11,545)
Unrealised Gains on Investments	3,684
<b>Fund Value</b>	<b>36,691</b>

Expenditure exceeded income during the year, and the value of the fund decreased, but was offset against the value of the investments which increased by £3.7 million during the year. This continues to be an exceptional outcome and although it reflects a reducing gain on last year's £6.6 million the stock exchange and economy continues to struggle with the COVID-19 pandemic, and the war in Ukraine. Movement in the value of investments is of course volatile and may well continue to decrease during these difficult times.



## Income and Expenditure

Income from all sources was £5.2 million summarised as follows –

	£'000
Donations	971
Legacies	1,821
Grants received	1,015
Income from fundraising events	246
Sale of goods (Charlie Bear)	90
Dividends and interest	1,057
<b>Total income</b>	<b>5,200</b>

Income in 2021-2022 was higher than in 2020/21 (£4.4 million), as there has been an increase in donations, grants received, and the sale of goods in the period, together with a decrease in the income from events. The income in 2021/22 includes income of £1 million from NHS Charities Together.

Legacy income of £1.8m was received (68 bequests) and continues to reflect the esteem and high regard for the levels of care and services provided by the Newcastle Hospitals.

Expenditure was £11.5 million, summarised into broad categories as follows –

	£'000
Activities to generate income	431
Purchase of new equipment	1,001
Patient education and welfare	4,932
Medical Research	350
Staff education and welfare	4,830
<b>Total expenditure</b>	<b>11,545</b>

Expenditure in 2021/22 was significantly higher than in 2020/21 (£5.1 million), as there has been an increase in the purchasing of new equipment, and in both patient and staff education and welfare due to a higher value, and volume of grant approvals in this period.

## Fund Organisation

The Newcastle Hospitals Charity comprises of 371 individual funds, 3 of which are restricted in purpose and 1 of which is designated for general purposes. The remaining 367 unrestricted funds are linked to individual wards or clinical services, research into clinical areas, and specific schemes/projects in accordance with the wishes of donors and charity objectives.

This includes the following 3 Restricted Funds:

- **The Sir Bobby Robson Foundation which is held to 'provide assistance to any charitable or public body active in the North East of England which participates in the treatment of/ or research into cancer or which provides care in the community for cancer sufferers and/ or their dependants/carers'**
- **Charlie Bear for Cancer Care which is held for the 'investigation, prevention, treatment, cure and defeat of cancer in all its forms and the advancement of scientific and medical education and research in topics related to cancer, provided that the useful result of such research is published'**
- **The Fleming and Watson Children's Fund which is held for the 'care, treatment and relief by way of research or otherwise of sick children attending any of the hospitals or other facilities managed by or otherwise in any way connected with the Newcastle upon Tyne Hospitals NHS Foundation Trust, or any successor to that body'**

Use of the restricted funds by the Charity Committee is based on the recommendations of Committees connected to those funds. Use of the unrestricted funds is based on applications from members of Trust staff. The application process uses 'SmartApprove' which ensures all application requests are assessed. Applicants must be able to demonstrate why it is appropriate to use charity funds in terms of additional benefit or enhancement to the service. This provides an assurance that expenditure from charity is appropriate and can demonstrate benefit to the service. The Committee approves all expenditure over £25,000 with a scheme of delegation to transfer authority to individual Committee Members or other members of staff as appropriate.

The Newcastle Hospitals Charity has a policy of turning-over the balance of any fund in 5 years, staff are encouraged to use at least 20% of the available funds per annum. The Charity can apply to the Charity Commission to re-organise funds that are no longer relevant or cannot be usefully used.

During 2021/22 the Charity considered 301 applications for funding from staff and external partners. That includes a very wide range of proposals some of which will span several years, and funding has been reserved.

## Investment Policy

The Newcastle Hospitals Charity invests any funds not required immediately for expenditure through portfolios managed by two investment Managers CCLA and BNY Mellon Newton Investment Management thus providing a mechanism for comparing performance and reducing the levels of risk. The CCLA element is held in their Ethical Investment Fund whilst Newton's is held in their Growth & Income Fund for Charities.

The portfolios are chosen by the Investment Managers and comprise of equities, property, and cash. The equities comprise shareholdings in public companies with stock market quotations; however, both portfolios refrain from the direct investment in companies that derive a substantial amount of their profit from investment in tobacco.

## CCLA

The investment objective of the Ethical Investment Fund for the fund is to provide an average return over a business cycle of inflation plus 5%, whilst maintaining income in real terms. The Responsible Investment Policy of CCLA has three strands:

- Engagement on issues of corporate social responsibility with a view to optimising long-term economic returns.
- Engagement on corporate governance including proxy voting on issues to protect and enhance shareholder value.
- Setting appropriate constraints on investment and exposure to activities considered unacceptable by an independent Board.

## Newton Investment Management Ltd

The objective of the Growth & Income Fund for Charities fund is to generate capital and income growth over a period of 5-7 years by investing at least 70% of the Sub-Fund's assets in a global portfolio of equities (company shares) and fixed income securities.

Newton's established Charities team actively manages the fund. There are no investments in derivatives, no underwriting and distributions are made on a quarterly basis.

The Charity's investment objectives are to take a medium-term view and generate income and growth from low-risk investments. The Investment Managers report to the Charitable Funds Committee quarterly on performance, provide a market review and projection, and make appropriate investment recommendations.

During 2021/22, the Newcastle Hospitals Charity received £1,056k in dividends and interest from investments. That sum has been calculated and allocated as pro-rata to the sum of fund values and commitments held as creditors in the balance sheet.

## Charitable Fund Management Costs

During 2021/22 the Trust employed eighteen whole time equivalent staff whose sole purpose was to generate and manage the charitable funds. The cost of those staff (and associated office costs) was £673k or 1.83% of the aggregate value of the funds.

The Charitable Fund management cost includes £12k for external audit fees.

The Charitable Fund Management Costs are allocated between funds as follows – a fixed 1% (of income) against the Sir Bobby Robson Fund, then as pro-rata to the sum of fund values and commitments held as creditors in the balance sheet for the other three restricted funds, and the balance against the general purposes fund.

## Principal Professional Advisors

### Investment Management

CCLA Investment Management Ltd, Senator House, 85 Queen Victoria Street, London, EC4V 4ET

### Bankers

HSBC PLC, 110 Grey Street, Newcastle upon Tyne, NE1 6JG

Yorkshire Bank, Quayside House, 110, Quayside, Newcastle upon Tyne, NE1 3DX

Barclays Bank PLC 71 Grey Street, Newcastle upon Tyne NE99 1JA

### External Auditors

Mazars LLP, Bank Chambers, 26 Mosley Street, Newcastle, NE1 1DF

### Internal Audit

AuditOne, Northumbria House, Unit 7/8 Silver Fox

Way, Cobalt Business Park, Newcastle upon Tyne, NE27 0QJ

### Counter Fraud Services

Corporate Finance, Regent Point, Regent Farm Road, Gosforth, Newcastle upon Tyne NE3 3HD

### Solicitors

Withers LLP 16 Old Bailey, London EC4M 7EG



## Registered Charity Name and Number

### Newcastle upon Tyne Hospitals NHS Charity (1057213)

Registered Address Charity Office Peacock Hall Royal Victoria Infirmary  
Newcastle upon Tyne NE1 4LP

Telephone: 0191 2231434

Website: <https://charity.newcastle-hospitals.nhs.uk/>

A handwritten signature in blue ink that reads "Jill Baker".

**Jill Baker (Non-Executive Director)**  
Date: 4th November 2022

**NEWCASTLE UPON TYNE HOSPITALS NHS CHARITY (Reg. 1057213)**  
**STATEMENT OF FINANCIAL ACTIVITIES FOR THE YEAR ENDED 31 MARCH 2022**

	NOTE	2022 Unrestricted £000	2022 restricted £000	2022 Total £000	2021 Total £000
<b>INCOME from:</b>					
Donations & Legacies	4-5	1,764	1,274	3,038	2,173
Other Trading Activities		43	47	90	470
Investments	14 (d)	708	349	1,057	961
Grants Received	6	0	1,015	1,015	776
<b>Total Income</b>		<b>2,515</b>	<b>2,685</b>	<b>5,200</b>	<b>4,380</b>
<b>EXPENDITURE on:</b>					
Generating Income	9	322	109	431	370
Charitable Activities:	10				
Purchase of new Equipment		998	3	1,001	404
Patient Education and Welfare		4,587	345	4,932	3,376
Medical Research		339	11	350	323
Staff Education and Welfare		3,788	1,042	4,830	669
<b>Total Expenditure</b>		<b>10,034</b>	<b>1,510</b>	<b>11,544</b>	<b>5,142</b>
<b>Net Income/(Expenditure)</b>		<b>(7,519)</b>	<b>1,175</b>	<b>(6,344)</b>	<b>(762)</b>
<b>Net Gain on Revaluation of Property</b>	13	0	0	0	0
<b>Net Gains/(Losses) on Investments</b>	14 (a)	2,468	1,216	3,684	6,556
<b>Net Movement in Funds</b>		<b>(5,051)</b>	<b>2,391</b>	<b>(2,660)</b>	<b>5,794</b>
<b>Reconciliation of Funds</b>					
Total Funds brought forward	3(a)&3(c)	28,991	10,360	39,351	33,557
<b>Total Funds carried forward</b>	<b>3(a)&amp;3(c)</b>	<b>23,940</b>	<b>12,751</b>	<b>36,691</b>	<b>39,351</b>

All of the amounts relate to continuing activities.

The charity has no recognised gains and losses other than those included in the results above, and therefore no separate statement of recognised gains and losses has been presented.

**NEWCASTLE UPON TYNE HOSPITALS NHS CHARITY (Reg. 1057213)**  
**STATEMENT OF FINANCIAL ACTIVITIES FOR THE YEAR ENDED 31 MARCH 2022**

	NOTE	2022 Unrestricted £000	2022 restricted £000	2022 Total £000	2021 Total £000
<b>Fixed Assets</b>					
Property	13	0	0	0	0
Investments	14	29,943	12,124	42,067	38,383
<b>Total Fixed Assets</b>		<b>29,943</b>	<b>12,124</b>	<b>42,067</b>	<b>38,383</b>
<b>Current Assets</b>					
Stocks and work in progress		38	5	43	36
Debtors	15	213	87	300	299
Cash and Cash Equivalents	16	5,695	4,375	10,070	9,598
<b>Total Current Assets</b>		<b>5,946</b>	<b>4,467</b>	<b>10,413</b>	<b>9,933</b>
<b>Creditors: Amounts falling due within one year</b>	17	(7,345)	(2,927)	(10,272)	(6,404)
<b>Net Current Assets/(Liabilities)</b>		<b>(1,399)</b>	<b>1,540</b>	<b>141</b>	<b>3,529</b>
<b>Total assets less current liabilities</b>		<b>28,544</b>	<b>13,664</b>	<b>42,208</b>	<b>41,912</b>
<b>Creditors: Amounts falling due after more than one year</b>	17	(4,604)	(913)	(5,517)	(2,561)
<b>Net Assets</b>		<b>23,940</b>	<b>12,751</b>	<b>36,691</b>	<b>39,351</b>
<b>Total Charity Funds</b>	<b>3(b) &amp; 3(d)</b>	<b>23,940</b>	<b>12,751</b>	<b>36,691</b>	<b>39,351</b>

The notes on Pages 40 to 50 are an integral part of these financial statements

The financial statements on pages 37 to 50 were approved by the Trustees on 4 November 2022 and signed on its behalf by



**Jill Baker (Non-Executive Director)**

**NEWCASTLE UPON TYNE HOSPITALS NHS CHARITY (Reg. 1057213)**  
**STATEMENT OF FINANCIAL ACTIVITIES FOR THE YEAR ENDED 31 MARCH 2022**

	2022 Unrestricted £000	2022 restricted £000	2022 Total £000	2021 Total £000
Net operating income / (expenditure)	(5,051)	2,390	<b>(2,661)</b>	5,794
Adjustment for non-cash transactions - depreciation, amortisation and net impairments	0	0	<b>0</b>	0
(Increase)/decrease in debtors	26	(27)	<b>(1)</b>	121
Increase/(decrease) in creditors	6,742	83	<b>6,825</b>	2,051
Dividends, interest and rents from investments	(708)	(349)	<b>(1,057)</b>	(961)
(Gains)/losses on investments	(2,468)	(1,216)	<b>(3,684)</b>	(6,556)
Other operating cash flows	(14)	7	<b>(7)</b>	(11)
<b>Net cash generating from / (used in) operations</b>	<b>(1,473)</b>	<b>888</b>	<b>(585)</b>	<b>438</b>
<b>Cash flows from investing activities:</b>				
Dividends, interest and rents from investments	708	349	1,057	961
<b>Net cash generating from / (used in) investing activities</b>	<b>708</b>	<b>349</b>	<b>1,057</b>	<b>961</b>
<b>Net cash generating from / (used in) financing activities</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Change in cash and cash equivalents in the reporting period	<b>(765)</b>	<b>1,237</b>	<b>472</b>	<b>1,399</b>
Cash and cash equivalents at the beginning of the reporting period	6,460	3,138	9,598	8,200
<b>Cash and cash equivalents at the end of the reporting period</b>	<b>5,695</b>	<b>4,375</b>	<b>10,070</b>	<b>9,598</b>

**NEWCASTLE UPON TYNE HOSPITALS NHS CHARITY (Reg. 1057213)**

**NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2022**

**The Trustee (Newcastle upon Tyne Hospitals NHS Foundation Trust) is the ultimate parent and controlling party**

GENERAL INFORMATION

The Newcastle upon Tyne Hospitals NHS Charity is registered as a Charity under the Charities Act 2011. The address of its registered office is: Charitable Funds Office, Peacock Hall, Royal Victoria Infirmary, Newcastle upon Tyne, NE3 4LP.

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**(a) Basis of preparation**

These financial statements have been prepared under the historical cost convention as modified by the inclusion of investments at market value, in accordance with the 'Accounting and Reporting by Charities: Statement of Recommended

Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102)' effective from 1st January 2015.

The principal accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all the years presented, unless otherwise stated.

FRS 102 allows a qualifying entity certain disclosure exemptions, subject to certain conditions, which have been complied with, including notification of, and no objection from, the Charity Trustee.

The charity constitutes a public benefit entity as defined by FRS 102.

The Trustee considers that there are no material uncertainties about the Charity's ability to continue as a going concern.

The uncertain economic outlook and the variability in income from donations and legacies year-to-year, represents a significant area of financial uncertainty for the Charity. These represented 58% of income in 2021/22 (50% in 2020/21). The Charity mitigates this risk through maintaining diversity in its income streams and upholding expenditure authorisation controls to prevent over-commitment of Funds, therefore this is not anticipated to represent a risk to going concern.

The Charity's functional and presentation currency is the pound sterling.

A significant area of uncertainty that affects the carrying value of assets held by the Charity is the performance of investment markets. The Charity holds fixed asset investments which were valued at £42,067k at 31 March 2022, which represented an increase in value of £3,684k from £38,383k at 31 March 2021. Income from investments in 2021/22 was £1,056k and is an increase of £95k compared to £961k in 2020/21. The Charity utilises Investment advisors and regularly reviews their performance in line with the Charity Investment Policy.

The Accounts include investments valued at market value at 31st March. That valuation was undertaken by the Charity's Investment Advisors.

The Newcastle upon Tyne Hospitals NHS Charity is a registered charity, and as such is entitled to certain tax exemptions on income and profits for investments, and surpluses on trading activities carried out in furtherance of the charity's primary objectives, if these profits and surpluses are applied solely for charitable purposes.

**(b) Funds structure**

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the financial statements as a restricted fund. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the

Trustee has chosen to earmark for set purposes are designated funds. The major funds held within these categories are disclosed in note 18.

**(c) Income**

All income is included in full in the Statement of Financial Activities as soon as the following three factors can be met:

- i) entitlement - arises when a particular resource is receivable or the charity's right becomes legally enforceable;
- ii) probable - when it is more likely than not that the income will be received;
- iii) measurement - when the monetary value of the income can be measured with sufficient reliability and the costs incurred for the transaction can be measured reliably.

**(d) Income-legacies**

Legacies are accounted for as income once the receipt of the legacy becomes probable or are within the control of the Charity. This will be once confirmation has been received from the representatives of the estate that payment of the legacy will be made or property transferred and once all conditions attached to the legacy have been fulfilled.

**(e) Expenditure**

The funds held on trust accounts are prepared in accordance with the accruals concept. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

Grants payable are payments, made to third parties (including NHS bodies) in the furtherance of the Funds held on Trust's charitable objectives wholly or mainly for the service provided by the Newcastle upon Tyne Hospitals NHS Foundation Trust (patient welfare, staff welfare, equipment and research). They are accounted for on an accruals basis where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant.

**(f) Allocation of overhead and support costs**

Support costs and overhead charges from The Newcastle upon Tyne Hospitals NHS Foundation Trust are allocated as direct costs or apportioned on an appropriate basis. The cost attributable to Charitable Activities are calculated as pro-rata to the sum of fund values and commitments held as creditors in the balance sheet.

**(g) Expenditure on Generating Income**

These are costs associated with generating income for funds held on Trust and include the Charity's Director, Fundraising Manager and Communication/Development Officer and costs relating specifically to the Charlie Bear & Sir Bobby Robson Foundation. There are no direct investment management costs levied by CCLA Investment Management and Newton's Investment Management for administering the units, as management charges are absorbed by the overall fund, of which the charity holds a share.

**(h) Governance costs**

Governance costs are those costs incurred in the governance of the charity, including statutory audit. These are now split between expenditure on raising funds and support costs. There is no effect on the total expenditure for 2021/22 or 2020/21. (See Note 9)

**(i) Fixed Asset Property**

The charity does not own any fixed assets.

**(j) Fixed Asset Investment**

Investments are a form of basic financial instrument. They are recognised initially at their transaction value and subsequently at their fair value (market value) as at the Balance Sheet date.

**(k) Realised gains and losses**

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sale proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as pro-rata to the sum of fund values and commitments held as creditors in the balance sheet. Market value is reflected in the Balance Sheet as quoted by the investment manager.

**(l) Pooling Scheme**

There is no official pooling scheme operated for investments.

**(m) Stocks**

Stocks are valued at the lower of cost and net realisable value.

**(n) Cash and cash equivalents**

Cash and cash equivalents includes cash in hand, deposits held at call with banks, other short-term highly liquid investments with original maturities of three months or less and bank overdrafts.

Previously investments held in a 90-day access savings account and money-master held accounts had been shown on the face of the balance sheet. As they are very liquid funds they are classified as cash equivalents and are shown as part of cash & cash equivalents on the balance sheet. There is no impact on the total funds of the charity and an analysis of cash and cash equivalents is provided in Note 16.

**(o) Debtors**

Debtors are amounts owed to the Charity. They are measured on the basis of their recoverable amount.

**(p) Creditors**

Creditors are amounts owed by the charity. They are measured at the amount that the charity expects to have to pay to settle the debt. Amounts which are owed in more than one year are shown as long-term creditors.

**2 Related Party Transactions**

The Trust Board of The Newcastle upon Tyne Hospitals NHS Foundation Trust acts as Corporate Trustee for The Newcastle upon Tyne Hospitals Charity. The principal purpose of Newcastle upon Tyne Hospitals NHS Foundation Trust is the provision of NHS healthcare. The charitable trust has made revenue and capital payments to The Newcastle upon Tyne Hospitals NHS

Foundation Trust. During the year none of the members of the Corporate Trustee Board, key management staff or parties related to them has undertaken any material transactions with the Newcastle upon Tyne Hospitals Charity.

During the financial year payments of £4,401k (2020/21: £3,101k) were made to the Newcastle upon Tyne

Hospitals NHS Foundation Trust in respect of grants and other charges made to the Trust. A further sum of £1100k was due for payment at 31st March 2022 (This was £290k in 2020/21).

These charges made by Newcastle upon Tyne Hospitals NHS Foundation Trust (£568k) for administrative support and overheads, include the provision of staff and office accommodation and are included in the figure above, which enables the charity to fulfil its statutory duties and provide support for the day-to-day running of the charity (£283k 2020/21).

The Newcastle upon Tyne Hospitals NHS Foundation Trust is the ultimate parent entity as Corporate Trustee. The Corporate Trustee maintains control of the Charity via a Committee - the Charitable Funds Committee - which comprises executive and non-executive Directors of the Trust.

The consolidated accounts of Newcastle upon Tyne Hospitals NHS Foundation Trust are available from: Charitable Funds (Finance) Office, Regent Point, Regent Farm Road, Newcastle upon Tyne, NE3 3HD.

There were no expenses or remuneration paid to the Trustees during the year (2020-21 Nil).

There were no transactions with Trustees or connected persons for the year to 31st March 2022 (2020-21 Nil). No indemnity insurance was provided to the Trustees in the year to 31st March 2022 (2020-21 Nil).

There were no loans or guarantees secured against assets of the Charity in the year to 31st March 2022 (2020-21 Nil).



**NEWCASTLE UPON TYNE HOSPITALS NHS CHARITY (Reg. 1057213)**  
**STATEMENT OF FINANCIAL ACTIVITIES FOR THE YEAR ENDED 31 MARCH 2022**

**3(a) UNRESTRICTED FUNDS-Statement of Financial Activity for the Year ended 31st March 2022**

	2022 £000	2021 £000
<b>INCOME</b>		
Donations & Legacies	1,764	1,712
Other Trading Activities	43	218
Investments	708	725
Grants Received	0	191
<b>Total Income</b>	<b>2,515</b>	2,846
<b>EXPENDITURE</b>		
Generating Income	322	257
Charitable Activities:		
Purchase of new Equipment	998	404
Patient Education and Welfare	4,587	2,739
Medical Research	339	914
Staff Education and Welfare	3,788	119
<b>Total Expenditure</b>	<b>10,034</b>	4,433
<b>Net (Expenditure)/Income</b>	<b>(7,519)</b>	(1,587)
Net Gain on Revaluation of Property	0	0
Net Gains (Losses) on Investments	2,468	4,950
<b>Net Movement in Funds</b>	<b>(5,051)</b>	3,363
Fund Balances Brought Forward	<b>28,991</b>	25,627
<b>Total Funds Carried Forward</b>	<b>23,940</b>	28,990

**3(b) UNRESTRICTED FUNDS-Balance Sheet as at 31st March 2022**

	2022 £000	2021 £000
Fixed Assets		
Tangible Assets	0	0
Investments	29,943	27,474
<b>Total Fixed Assets</b>	<b>29,943</b>	27,474
<b>Current Assets</b>		
Stocks and work in progress	38	25
Debtors	213	239
Cash and Cash Equivalents	5,695	6,460
<b>Total Current Assets</b>	<b>5,946</b>	6,724
<b>Creditors: Amounts falling due within one year</b>	<b>(7,345)</b>	(4,048)
<b>Net Current Assets/(Liabilities)</b>	<b>(1,399)</b>	2,676
<b>Total assets less current liabilities</b>	<b>28,544</b>	30,150
<b>Creditors: Amounts falling due after more than one year</b>	<b>(4,604)</b>	(1,160)
<b>Net Assets</b>	<b>23,940</b>	28,990
<b>Total Charity Funds</b>	<b>23,940</b>	28,990

**(c) RESTRICTED FUNDS-Statement of Financial Activity for the Year ended 31st March 2022**

	2022 £000	2021 £000
<b>INCOME</b>		
Donations & Legacies	1,274	461
Other Trading Activities	47	252
Investments	349	236
Grants Received	1,015	585
<b>Total Income</b>	<b>2,685</b>	1,534
<b>EXPENDITURE</b>		
Generating Income	109	113
Charitable Activities:		
Purchase of new Equipment	3	0
Patient Education and Welfare	345	637
Medical Research	11	(591)
Staff Education and Welfare	1,042	550
<b>Total Expenditure</b>	<b>1,510</b>	709
<b>Net Income (Expenditure)</b>	<b>1,175</b>	825
Net Gains (Losses) on Investments	1,216	1,606
<b>Net Movement in Funds</b>	<b>2,391</b>	2,431
Fund Balances Brought Forward	<b>10,360</b>	7,930
<b>Total Funds Carried Forward</b>	<b>12,751</b>	10,361

**3(d) RESTRICTED FUNDS-Balance Sheet as at 31st March 2022**

	2022 £000	2021 £000
Fixed Assets		
Investments	12,124	10,909
<b>Total Fixed Assets</b>	<b>12,124</b>	10,909
<b>Current Assets</b>		
Stocks and work in progress	5	11
Debtors	87	60
Cash and Cash Equivalents	4,375	3,138
Short term Investments and Deposits		
<b>Total Current Assets</b>	<b>4,467</b>	3,209
<b>Creditors: Amounts falling due within one year</b>	<b>(2,927)</b>	(2,356)
<b>Net Current Assets/(Liabilities)</b>	<b>1,540</b>	853
<b>Total assets less current liabilities</b>	<b>13,664</b>	11,762
<b>Creditors: Amounts falling due after more than one year</b>	<b>(913)</b>	(1,401)
<b>Net Assets</b>	<b>12,751</b>	10,361
<b>Total Charity Funds</b>	<b>12,751</b>	10,361

#### 4 Donations

General Purposes  
Charlie Bear  
Sir Bobby Robson Foundation

##### Total

Donations from individuals are gifts from members of the public, relatives of patients and staff.

	2022 £000	2021 £000
General Purposes	810	310
Charlie Bear	33	20
Sir Bobby Robson Foundation	375	16
<b>Total</b>	<b>1,218</b>	<b>346</b>

#### 5 Legacies

General Purposes  
Charlie Bear  
Sir Bobby Robson Foundation

##### Total

Legacies are gifts included in wills, which are for the benefit of services within the charity's local hospitals.

	2022 £000	2021 £000
General Purposes	954	1,402
Charlie Bear	14	23
Sir Bobby Robson Foundation	853	16
<b>Total</b>	<b>1,219</b>	<b>1,827</b>

#### 6 Grants received

In this Financial year a grant totalling £1,015k was received. The Charity received £1,015k from NHS Charities Together to help with COVID-19 recovery and wellbeing of the staff at the Trust.

#### 7 Donated Facilities and Services, including Volunteers

The Charity recognises the contribution of volunteers who supplement the Retail Manager in the Freeman NHC Shop, the Charlie Bear Shop, and the GNCH Pop up stand. It also notes the contribution at charitable events, such as Great North Run, NHS Big Tea Party, and the Christmas Lights switch on. However the lack of a reliable method of measuring, and the volume of volunteers used, has meant that this contribution is not financially recognised in the accounts.

#### 8 Movements in funding commitments

##### Opening balance at 1st April

Additional commitments made during the year

Amounts paid during the year

##### Closing balance at 31st March

	2022 -Current Liabilities £000	2022 Non-Current Liabilities £000	2022 Total £000	Total £000
Opening balance at 1st April	6,404	2,561	<b>8,965</b>	6,626
Additional commitments made during the year	5,539	4,146	<b>9,685</b>	5,348
Amounts paid during the year	(1,671)	(1,190)	<b>(2,861)</b>	(3,009)
<b>Closing balance at 31st March</b>	<b>10,272</b>	<b>5,517</b>	<b>15,789</b>	8,965

#### 9 Allocation of Overhead and Support Costs

Support and overhead costs have been analysed to identify

##### Costs of Generating Income:

Apportioned across all funds as pro-rata to the sum of fund values and commitments held as creditors in the balance sheet throughout 2020-21 activities

##### Costs in Support of Charitable Activities:

Apportioned across all funds as pro-rata to the sum of fund values and commitments held as creditors in the balance sheet in support of charitable activities

Bought-in services from NHS includes Internal Audit and a recharge from The Newcastle upon Tyne Hospitals NHS Foundation Trust for finance support and overheads.

The Auditor's remuneration of £12k (2021:£12k) related solely to the year-end audit with no additional work being undertaken. There were other governance costs of £690 (2021:£4k) relating to Governance Support workshops.

There are no staff employed directly by the charity, the Charity purchases services from Newcastle-upon-Tyne NHS Foundation Trust. In the following table the bought-in NHS salaries includes employer's national insurance and pension contributions.

	2022 Costs of Generating Income £000	2022 Costs in Support of Charitable Activities £000	2022 Total £000	2021 Total £000
Auditors' Remuneration - Audit Fees	0	12	<b>12</b>	12
Other Fees & Services	66	36	<b>102</b>	46
Bought-in services from NHS-Salaries	272	347	<b>619</b>	433
Bought-in services from NHS-Accommodation/ Office/IT	0	54	<b>54</b>	55
Goods for Resale	32	0	<b>32</b>	15
Promotion/Publicity/Events	56	0	<b>56</b>	82
Bank Charges	0	4	<b>4</b>	1
Miscellaneous	5	0	<b>5</b>	10
<b>Total</b>	<b>431</b>	<b>453</b>	<b>884</b>	654

## 10 Charitable Activities

	2022 Grant Funded Activities	2022 Support Costs	2022 Total	2021 Total
	£000	£000	£000	£000
Purchase of new Equipment	961	41	1,002	404
Patient Education and Welfare	4,732	201	4,932	3,376
Medical Research	335	15	350	323
Staff Education and Welfare	4,633	197	4,830	669
<b>Total Grants Payable</b>	<b>10,660</b>	<b>453</b>	<b>11,113</b>	<b>4,772</b>

Grants are made in support of services provided by the Newcastle upon Tyne Hospital NHS Foundation Trust, with the exception of sixteen awards to:

- (i) **1,425k – County Durham Community Foundation, for Community Partnership projects**
- (ii) **375k - Newcastle City Council, for Child Bereavement Support Workers**
- (iii) **205k - Children's Cancer North, for Childhood Cancer Research**
- (iv) **205k - Daft as a Brush, for Cancer patient transport 2021/22**
- (v) **150k – Newcastle United Foundation, for Children's Cancer Project Coordinator**
- (vi) **129k - MediCinema, for RVI MediCinema social Cinema Screenings**
- (vii) **80k - Newcastle City Council, for Child Bereavement Officer**
- (viii) **75k - Newcastle United Foundation, for Great North Children's Hospital Project Co-ordinator**
- (ix) **71k - Healthworks, for Long Covid service**
- (x) **59k – Citizens Advice Gateshead, for Social Welfare Support for Staff**
- (xi) **45k - Newcastle Carers, for Carers and Young Carers**
- (xii) **30k - North East Wellbeing, for Zone West Impact Management**
- (xiii) **29k - Centre for Sustainable Healthcare, for Green Gym Coordinator**
- (xiv) **14k - Teapot Trust, for Art Therapy**
- (xv) **10k - Newcastle City Council, for Child Bereavement Support Worker**
- (xvi) **<1k – Radio Tyneside, for hospital radio services**

## 11 Analysis of Grants

All grants are made directly to The Newcastle upon Tyne Hospitals NHS Foundation Trust via a scheme of delegation operated by the Corporate Trustee.

- All grant funded activity by fund advisors in accordance with standing orders and financial instructions.

## 12 Analysis of Staff Costs/Pension Contributions

There are no staff employed directly by the charity, the Charity purchases services from Newcastle-upon-Tyne NHS Foundation Trust. Within the staff employed to provide a service to the Charity, the Trust employs one member of staff with a salary over £60k (cost in 2021/22 was in the band £110k to £120k).

## 13 Fixed Asset Property

The Charity does not hold any Freehold Land & Buildings.

## 14 Fixed Asset Investments

### a) Movement in Fixed Asset Investments

Market Value at 1 April 2021  
Add Acquisitions at Cost  
Net gain/(loss) on Revaluation

**Market Value at 31 March 2022**

**Historic Cost at 31 March 2022**

	2022 £000	2021 £000
Market Value at 1 April 2021	38,383	31,827
Add Acquisitions at Cost	0	0
Net gain/(loss) on Revaluation	3,684	6,556
<b>Market Value at 31 March 2022</b>	<b>42,067</b>	<b>38,383</b>
<b>Historic Cost at 31 March 2022</b>	<b>20,807</b>	<b>20,807</b>

### b) Fixed Asset Investments

Investments in a Common Investment Fund

Investments Listed on Stock Exchange

**Total**

	2022 Units/Shares Held	2022 Held in UK £000	Held Outside UK £000	2022 Total £000	2021 Total £000
Investments in a Common Investment Fund	6,922,990	20,550	0	20,550	18,886
Investments Listed on Stock Exchange	12,580,258	21,517	0	21,517	19,497
<b>Total</b>	<b>19,503,247</b>	<b>42,067</b>	<b>0</b>	<b>42,067</b>	<b>38,383</b>

### c) Cash and Cash equivalents

A sum of £10,070k (2020/21: £9,568k) is held between a HSBC Moneymaster Deposit Account, Yorkshire Bank Deposit Account, Barclays Current Account and a Barclays Business Savings Account.

### d) Analysis of Gross Income from Investments

Investments in a Common Investment Fund  
Other Investments (HSBC & Yorkshire Bank Interest)  
Investments Listed on Stock Exchange  
Interest from Treasury Deposit /Business Premium Account

**Total Income from Investments**

	2022 Held in UK £000	Held Outside UK £000	2022 Total £000	2021 Total £000
Investments in a Common Investment Fund	586	0	586	576
Other Investments (HSBC & Yorkshire Bank Interest)	4	0	4	11
Investments Listed on Stock Exchange	467	0	467	368
Interest from Treasury Deposit /Business Premium Account	0	0	0	6
<b>Total Income from Investments</b>	<b>1,057</b>	<b>0</b>	<b>1,057</b>	<b>961</b>

Dividend income totalled £1,052k in 2021/22 (£944k in 2020/21)

## 15 Debtors

Amounts falling due within one year:  
Prepayments and Accrued Income

### Total

2022 £000	2021 £000
300	299
<b>300</b>	<b>299</b>

## 16 Analysis of cash and cash equivalents

Cash at Bank and in Hand  
Notice Deposits (less than 3 months)

2022 £000	2021 £000
1,012	804
9,058	8,794
<b>10,070</b>	<b>9,598</b>

Cash deposits are split between an HSBC Moneymaster, a Yorkshire Bank (95 day Notice Account) and a Barclays Business Savings Account to primarily reflect the Trustees decision to hold liquid funds to meet grant expenditure incurred initially by the Newcastle upon Tyne Hospitals NHS Foundation Trust.

## 17 Creditors

Amounts falling due within one year :  
Trade Creditors \*  
Accruals and deferred income #

### Total

2022 £000	2021 £000
1100	297
9,172	6,107
<b>10,272</b>	<b>6,404</b>

Amounts falling due within one year:  
Accruals and deferred income #

### Total

2022 £000	2021 £000
5,517	2,561
<b>5,517</b>	<b>2,561</b>

\* Trade Creditors represents the amount owed to a related party - The Newcastle upon Tyne Hospitals NHS Foundation Trust, for costs incurred on behalf of the charity in the furtherance of the Charity's objects.

# Accruals of £15,790k (2020/21 £8,315k) have been included in the above figures which the Trustees considers to be a legal or constructive obligation because of ongoing or future schemes agreed with the Trust (see Annual Report for details)

## 18 Analysis of Charitable Funds

### RESTRICTED FUNDS

Sir Bobby Robson Foundation  
Charlie Bear  
Children's Services (Fleming/Watson Fund)  
NHS Charities Together

### Total

Balance 1st April 2021 £000	Income £000	Expenditure £000	Transfers £000	Gains and Losses £000	Balance 31st March 2022 £000
8,679	1,538	(226)	0	1,085	11,076
795	123	(236)	0	100	782
894	9	(22)	0	31	912
(8)	1,015	(1,026)	0	0	(19)
<b>10,360</b>	<b>2,685</b>	<b>(1,510)</b>	<b>0</b>	<b>1,216</b>	<b>12,751</b>

### RESTRICTED FUNDS

General Purposes

### Total

Balance 1st April 2021 £000	Income £000	Expenditure £000	Transfers £000	Gains and Losses £000	Balance 31st March 2022 £000
28,991	2,515	(10,034)	0	2,468	23,940
<b>28,991</b>	<b>2,515</b>	<b>(10,034)</b>	<b>0</b>	<b>2,468</b>	<b>23,940</b>

### TOTAL FUNDS

Balance 1st April 2021 £000	Income £000	Expenditure £000	Transfers £000	Gains and Losses £000	Balance 31st March 2022 £000
39,351	5,200	(11,544)	0	3,684	36,691

Notes:

**A** - No endowment funds are held.

**B** - Funds are shown at Market Value as at 31st March 2022.

**C** - The purpose of the restricted funds is explained on page 33 of the Annual Report

## 19 Events After The Reporting Period

There were no significant events after the reporting period.

## Independent auditor's report to the Corporate Trustee of Newcastle upon Tyne Hospitals NHS Charity

### Opinion

We have audited the financial statements of Newcastle upon Tyne Hospitals NHS Charity (the 'charity') for the year ended 31 March 2022 which comprise the Statement of Financial Activities, the Balance Sheet, the Statement of Cash Flow and notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including FRS 102 "The Financial Reporting Standard applicable in the UK and Republic of Ireland" (United Kingdom Generally Accepted Accounting Practice).

In our opinion, the financial statements:

- give a true and fair view of the state of the charity's affairs as at 31 March 2022 and of its income and expenditure for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Charities Act 2011.

### Basis for opinion

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

### Conclusions relating to going concern

In auditing the financial statements, we have concluded that the trustees' use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

Our responsibilities and the responsibilities of the trustees with respect to going concern are described in the relevant sections of this report.

### Other information

The other information comprises the information included in the Charity Annual Report, other than the financial statements and our auditor's report thereon. The trustees are responsible for the other information. Our opinion on the financial statements does not cover the other information and we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the course of the audit, or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

### Matters on which we are required to report by exception

In light of the knowledge and understanding of the charity and its environment obtained in the course of the audit, we have not identified material misstatements in the Charity Annual Report.

We have nothing to report in respect of the following matters in relation to which the Charities (Accounts and Reports) Regulations 2008 requires us to report to you if, in our opinion:

- the information given in the financial statements is inconsistent in any material respect with the Charity Annual Report; or
- sufficient accounting records have not been kept; or
- the financial statements are not in agreement with the accounting records; or
- we have not received all the information and explanations we require for our audit.

### Responsibilities of Trustees

As explained more fully in the statement of trustee's responsibilities set out on page 23, the trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the trustees either intend to liquidate the charity or to cease operations, or have no realistic alternative but to do so.

## Auditor's responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of the financial statements.

The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud.

Based on our understanding of the charity and its sector, we considered that non-compliance with the following laws and regulations might have a material effect on the financial statements: employment regulation, health and safety regulation, anti-money laundering regulation, non-compliance with implementation of government support schemes relating to COVID-19.

To help us identify instances of non-compliance with these laws and regulations, and in identifying and assessing the risks of material misstatement in respect to non-compliance, our procedures included, but were not limited to:

- Inquiring of management and, where appropriate, those charged with governance, as to whether the charity is in compliance with laws and regulations, and discussing their policies and procedures regarding compliance with laws and regulations;
- Inspecting correspondence, if any, with relevant licensing or regulatory authorities;
- Communicating identified laws and regulations to the engagement team and remaining alert to any indications of non-compliance throughout our audit; and
- Considering the risk of acts by the charity which were contrary to applicable laws and regulations, including fraud.

We also considered those laws and regulations that have a direct effect on the preparation of the financial statements, such as tax legislation, pension legislation, the Companies Act 2006, the Charities Act 2011 and the Charities Statement of Recommended Practice.

In addition, we evaluated the trustees' and management's incentives and opportunities for fraudulent manipulation of the financial statements, including the risk of management override of controls, and determined that the principal risks related to posting manual journal entries to manipulate financial performance, management bias through judgements and assumptions in significant accounting estimates, income recognition (which we pinpointed to the cut-off assertion), and significant one-off or unusual transactions.

Our audit procedures in relation to fraud included but were not limited to:

- Making enquiries of the trustees and management on whether they had knowledge of any actual, suspected or alleged fraud;
- Gaining an understanding of the internal controls established to mitigate risks related to fraud;
- Discussing amongst the engagement team the risks of fraud; and
- Addressing the risks of fraud through management override of controls by performing journal entry testing.

There are inherent limitations in the audit procedures described above and the primary responsibility for the prevention and detection of irregularities including fraud rests with management. As with any audit, there remained a risk of non-detection of irregularities, as these may involve collusion, forgery, intentional omissions, misrepresentations or the override of internal controls.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at [www.frc.org.uk/auditorsresponsibilities](http://www.frc.org.uk/auditorsresponsibilities). This description forms part of our auditor's report.

## Use of the audit report

This report is made solely to the charity's trustees, as a body, in accordance with Part 4 of the Charities (Accounts and Reports) Regulations 2008. Our audit work has been undertaken so that we might state to the charity's trustees those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's trustees as a body, for our audit work, for this report, or for the opinions we have formed.

Signed: **Mazars LLP**

Nicola Wakefield, for and on behalf of Mazars LLP

Chartered Accountants and Statutory Auditor

The Corner

Bank Chambers

26 Mosley Street

Newcastle upon Tyne

NE1 1DF

Date: Nov 10, 2022

Mazars LLP is eligible for appointment as auditor of the charity by virtue of its eligibility for appointment as auditor of a company under section 1212 of the Companies Act 2006.



The home of



## Contact us

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